PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.:

067234-0104

Customer No.:

41552

Applicant:

Fan, Jian-Bing et al.

Confirmation No.:

8734

Serial No.:

10/759,576

Group Art Unit:

1634

Filed:

January 16, 2004

Examiner:

Forman, Betty J.

Title:

PARALLEL GENOTYPING OF MULTIPLE PATIENT SAMPLES

TERMINAL DISCLAIMER AND CERTIFICATION UNDER RULE 1.321 AND 3.73(b)

Illumina, Inc. is the assignee of and the exclusive owner of the entire right, title and interest of, in and to the above-identified application as indicated by the attached recordation of Assignment, which has been recorded by the U.S. Patent and Trademark Office at Reel 015170 and Frame 0323 for priority application Serial No. 09/785,514.

Illumina, Inc. hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified application that would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §§ 154-156 & 173, as presently shortened by any terminal disclaimer, of prior U.S. Patent Nos. 6,355,431, 6,544,732, 6,429,027 and 6,620,584.

Illumina, Inc. hereby agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the above-identified application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the above-identified application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154-156 & 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires

for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

The undersigned has reviewed all of the documents and the chain of title of the patent application identified above and to the best of the undersigned's knowledge and belief all right, title and interest in the above-identified patent application resides with Illumina, Inc.

The undersigned is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please charge the \$130.00 Disclaimer fee to Deposit Account Number 502624.

Date

Signature

Jay Flatley

Name

President and Chief Executive Officer

Title



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 24, 2004

PTAS



DORSEY & WHITNEY LLP AROBIN M. SILVA, ESO. 4EMBARCADERO CENTER SUITE 3400 SAN FRANCISCO, CA 94111-4187

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/02/2004

REEL/FRAME: 015170/0323 NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT ADDRESS AND STATE OF

INCORPORATION OF ASSIGNEE, PREVIOUSLY RECORDED AT REEL 012048,

FRAME 0236.

ASSIGNOR:

FAN, JIAN-BING

DOC DATE: 03/04/2004

ASSIGNOR:

CHEE, MARK S.

DOC DATE: 03/04/2004

ASSIGNEE:

ILLUMINA, INC.

9885 TOWNE CENTRE DRIVE SAN DIEGO, CALIFORNIA 92121

SERIAL NUMBER: 09785514

FILING DATE: 02/16/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: PARALLEL GENOTYPING OF MULTIPLE PATIENT SAMPLES

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JEEVON JONES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS Attorney Docket No. A-68970-1/RMS/DCF/NHT (469249-154)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FAN et al.,

Serial No: 09/785,514

Filed: February 16, 2001

For: PARALLEL GENOTYPING OF

MULTIPLE PATIENT SAMPLES

Examiner: FORMAN, B.J..

Group Art Unit: 1634

Express Mail No. EV 298967445 US

REQUEST FOR CORRECTION OF ASSIGNMENT DOCUMENT UNDER M.P.E.P. § 323

Mail Stop Assignment Recordation Services Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For the above-identified patent application, Applicant hereby requests correction of the address and state of incorporation of the assignee in the original assignment recorded by the U.S. Patent and Trademark Office on August 6, 2001 at Reel No. 012048, Frame No. 0236. Enclosed are the following:

- 1. Original assignment document with corrections made therein;
- 2. Original Recordation Form Cover Sheet;
- New Recordation Form Cover Sheet, indicating submission as a corrective document;
 - 4. Return Postcard.

The requisite fee under 37 C.F.R. §3.41 is enclosed. Applicants are of the belief that no other fees are due in connection with this request. The Commissioner, however, is hereby authorized to charge any additional required fees, or credit any overpayment, to Deposit Account No. 50-2319 (Our Order No. A-68970/RMS/DCF/NHT (465840-00483)).

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted, DORSEY & WHITNEY LLP

Robin M. Silva, Reg. No. 38, 304 Filed under § 1.34(a)

)

Dated:

Customer Number: 32940 Dorsey & Whitney LLP

Four Embarcadero Center, Suite 3400

San Francisco, CA 94111-4187 Telephone: (415) 781-1989 (415) 398-3249 Facsimile:

ASSIGNMENT

(NOT ACCOMPANYING APPLICATION)

WHEREAS, the undersigned, Jian-Bing Fan, resident of San Diego, County of San Diego, State of California; and Mark S. Chee, resident of Del Mar, County of San Diego, State of California (hereinafter termed "Inventors"), have invented certain new and useful improvements in PARALLEL GENOTYPING OF MULTIPLE PATIENT SAMPLES and have executed an application for a United States patent disclosing and identifying this invention and having Serial No. 09/785,514 and a filing date of February 16, 2001; and MSC 3/4/04 MSC 3/4/04 Delaware 58F 3/04/04

WHEREAS, Illumina, Inc., a corporation of the State of California; having a place of business at 9399 Towne Centre Drive, Suite 200, San Diego, 92121, State of California, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventors' certificates and other forms of protection, including any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications, and every reissue or extensions of any of said patents (hereinafter termed "Patent Rights").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do each hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to all Patent Rights.
- Said Inventors hereby agree that each will, at Assignee's expense, (a) cooperate with Assignee in the prosecution and enforcement of the Patent Rights, and (b) execute, verify, testify, acknowledge and deliver all such further facts and documents, including applications, petitions, declarations, oaths and instruments of transfer necessary.
- The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives and assigns.
- 4. Said Inventors each warrant and represent that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as follows:

•	
Date: 3 db , 2001	Jian-Bing Fan
County of San Diego,)) ss.	
State of California.)	•
name is subscribed to the within instrume same in his authorized capacity, and that person, or the entity upon behalf of which instrument. WITNESS my hand and official see	ent, and acknowledged that he executed the to be the person whose ent, and acknowledged that he executed the to be the person whose the the house of the person state of the instrument the house of the person state of the instrument the house of the person whose ent. CARMELA HASKELL Commission # 1185583 Notory Public - Colffornia Sonta Clara County My Comm. Expires May 31, 2002
Signature Carmele Haske	(seal)
Date: July 26, 2001	Mark S. Chee
County of San Diego,	
State of California.	
On this 26 day of July personally appeared Mark S. Chee, personame is subscribed to the within instrume same in his authorized capacity, and that person, or the entity upon behalf of which instrument. WITNESS my hand and official services.	enally known to me to be the person whose ent, and acknowledged that he executed the by his signature on the instrument the on the person acted, executed the
Signature (avnelofaske	el (seal)

A-68970-1/DJB/RMS/DCF 1057585